

Responsible Minerals Sourcing Policy

Ethics and Law, Fair Business Practices, Environmental Affairs and the Cooperation with our supply chain are crucial constituents of the RAFI “Code of Conduct (CoC)”¹.

RAFI is a technology leader and a driver of innovation. We are the first choice for leading companies in the fields of E²MS and HMI. The name RAFI stands for reliability, quality, and decades of experience in the industry. RAFI is aware of its due diligence with respect to the “OECD² Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (CAHRAs)” and obligations concerning the regulations regarding “Conflict Minerals”, in particular regarding the Dodd-Frank Wall Street Reform and Consumer Act, Sec. 1502.

Therefore:

- RAFI addresses the requirements considering “Responsible Sourcing” within the CoC.
- RAFI is doing supply chain-audits and surveys regarding “Responsible Sourcing of minerals”.
- With finding of non-conformities within the supply chain, RAFI demands adequate corrective actions. If the corrective actions are not carried out within a reasonable period, RAFI excludes the supplier from the supply chain.
- RAFI is doing yearly supply chain-surveys utilizing the RMI³ Conflict-Minerals-Reporting-Template in the current version.
- RAFI is reporting⁴ on supply chain due diligence concerning Responsible Sourcing of minerals.

As part of our Company Policy and CoC, we condemn activities in the context of illegal exploitation of minerals, which:

- Directly or indirectly benefits armed groups in conflict areas, especially in so-called “Conflict-Affected and High-Risk Areas (CAHRAs)” like the Democratic Republic of Congo and adjoining countries.
- Is violating Human Rights.
- Utilizes financial wrongdoings.

Our manufactured devices may contain minerals of the so-called 3TG-group (tungsten, tin, tantalum and gold) or cobalt, e.g. for electrical contacts in particular tin and gold. RAFI GmbH & Co. KG does not source these materials directly from smelters.

To ensure that our raw material is sourced with respect to ethically accepted human right values we do yearly surveys with our supply chain responsible for 3TG-material or cobalt. Should there be any indication of violation regarding the aspects and risks of the “OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (CAHRAs) – Annex II”, the supplier will be contacted. If non-conformities are found, we agree with the supplier on adequate corrective actions. If the corrective actions are not carried out within a reasonable period, RAFI excludes the supplier from the supply chain.

¹ See: <https://www.rafi-group.com/en/code-of-conduct>

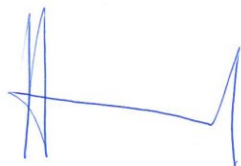
² Organisation for Economic Co-operation and Development

³ Responsible Minerals Initiative

⁴ See: <https://www.rafi-group.com/en/conflict-minerals-company-policy>

Therefore, to the best of our knowledge, we confirm that RAFI GmbH & Co. KG and its supply chain do not source any "Conflict Minerals".

Berg, 2021-10-20



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Please find our latest Conflict Minerals Reporting Template (CMRT) on:
<https://www.rafi-group.com/en/conflict-minerals-company-policy/>